

Amendment and Response

Applicant: G. Stephen LeGraw

Serial No.: 09/754,492

Filed: January 4, 2001

Docket No.: S144.101.102

Title: COMMERCIAL COMPANY INFORMATION TRADING SYSTEM AND METHOD (*As Amended*)

IN THE CLAIMS

Please add claims 59 and 60.

Please amend claims 1, 22, 26, 44, 51, and 58 as follows:

1. (Currently Amended) A business method for trading information related to commercial companies, comprising the steps of:
 - collecting private company information from a user at a first location, the private company information having a confidential data portion and an exchange data portion;
 - transmitting only the exchange data portion to a central location;
 - defining an exchange data set at the central location;
 - updating the exchange data set using the exchange data portion; and
 - determining an output data set from the exchange data set, wherein the output data set is accessible by one or more users;
 - wherein the private company information relates to a private company in a commercial industry, and the output data set includes statistical averages for the commercial industry.
2. (Original) The method of claim 1, wherein the step of transmitting only the exchange data portion to a central location includes the step of transmitting only the exchange data portion to a central location via a network.
3. (Original) The method of claim 2, wherein the network includes an Internet communication link.
4. (Original) The method of claim 1, further comprising the steps of:
 - defining one or more groups; and
 - determining one or more groups associated with the collected information.

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5. (Original) The method of claim 4, further comprising the step of attaching the determined groups to the exchange data portion.
6. (Original) The method of claim 1, further comprising the step of defining the user as a qualified user.
7. (Original) The method of claim 6, further comprising the step of defining the exchange data set as a qualified user exchange data set.
8. (Original) The method of claim 1, further comprising the step of transmitting the output data set from the central location to the first location via an Internet communication link.
9. (Original) The method of claim 8, further comprising storing the output data set at the first location.
10. (Original) The method of claim 1, further comprising storing the output data set at the central location.
11. (Original) The method of claim 1, further comprising the step of storing the collected information at the first location.
12. (Original) The method of claim 1, further comprising the step of storing the confidential data portion at the first location.
13. (Original) The method of claim 1, wherein the step of determining the output data set further comprises the steps of:
 - defining a statistical model;
 - generating a statistical data set using the exchange data set and the statistical model; and
 - storing the statistical data set as the output data set.

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14. (Original) The method of claim 13, further comprising the step of receiving a second exchange data portion from a second user; and updating the exchange data set using the second exchange data portion.
15. (Original) The method of claim 1, wherein the step of collecting information from a user further includes the steps of:
 - defining a graphical user interface including a webpage; and
 - collecting information from the user via the webpage.
16. (Original) The method of claim 15, further comprising the steps of:
 - accessing the central controller via the webpage using a login routine, wherein the login routine determines whether a user is allowed to access the central controller.
17. (Original) The method of claim 14, further comprising the step of transmitting the output data set to the user at the completion of the login routine.
18. (Original) The method of claim 1, further comprising the step of generating a graph from the output data set.
19. (Original) The method of claim 1, wherein the step of collecting information from a user includes the step of collecting benchmark information.
20. (Original) The method of claim 19, wherein the benchmarking information is asset information.
21. (Original) The method of claim 20, wherein the asset information includes public business valuation information.
22. (Currently Amended) The method of claim 20, wherein the asset information ~~includes~~ includes private business valuation information.

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23. (Original) The method of claim 20, wherein the asset data information includes portfolio information.

24. (Original) The method of claim 20, wherein the asset information includes capital structure information.

25. (Original) The method of claim 20, wherein the benchmarking information is performance information.

26. (Currently Amended) A network business method for providing commercial statistical data via a network, comprising the steps of:
collecting commercial data information via a webpage from a user, wherein the commercial data information relates to a private company in an industry;
generating a commercial data record from the commercial data information, including a confidential subrecord and an exchange subrecord;
defining a commercial statistical analysis system having an exchange data set;
transmitting only the exchange subrecord via a network communication link to the commercial statistical analysis system;
updating the exchange data set using the exchange subrecord; and
generating a commercial statistical data set for the industry using the commercial statistical analysis system, wherein the commercial statistical data set includes statistical averages for the industry and is accessible by the user.

27. (Original) The method of claim 26, further comprising the step of transmitting the commercial statistical data set from the commercial statistical analysis system to the user via the network communication link.

28. (Original) The method of claim 27, further comprising storing the commercial statistical data set.

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29. (Original) The method of claim 28, further comprising storing the commercial statistical data set at the commercial statistical analysis system.

30. (Original) The method of claim 26, further comprising the step of storing the collected information at the location of the user.

31. (Original) The method of claim 26, further comprising the step of storing the confidential subrecord at the location of the user.

32. (Original) The method of claim 26, wherein the step of generating the commercial statistical data set further comprises the steps of:

defining a statistical model; and

generating a commercial statistical data set using the exchange data set and the statistical model.

33. (Original) The method of claim 32, further comprising the step of receiving a second exchange subrecord from a second user; and updating the exchange data set using the second exchange subrecord.

34. (Original) The method of claim 26, further comprising the steps of:

accessing the commercial statistical analysis system via the web page using a login routine, wherein the login routine determines whether a user is allowed to access the commercial statistical analysis system.

35. (Original) The method of claim 34, further comprising the step of transmitting the commercial statistical data set to the user at the completion of the login routine.

36. (Original) The method of claim 26, further comprising the step of generating a graph from the commercial statistical data set.

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37. (Original) The method of claim 26, wherein the step of collecting information from a user includes the step of collecting asset information.

38. (Original) The method of claim 37, wherein the asset information includes public business valuation and other statistical information.

39. (Original) The method of claim 37, wherein the asset information includes private business valuation and other statistical information.

40. (Original) The method of claim 26, further comprising the step of determining whether the user is a qualified user.

41. (Original) The method of claim 40, further comprising the step of defining a qualified user exchange data set, wherein the qualified user exchange data set is allowed to be accessed only by the qualified user.

42. (Original) The method of claim 41, further comprising the step of defining the exchange data set to include the qualified user exchange data set as a subset of the exchange data set.

43. (Original) The method of claim 26, comprising the step of defining the network communication link to include an internet communication link.

44. (Currently Amended) A system for trading commercial information via a network, the system comprising:

a commercial information exchange system including an exchange system controller and an exchange data storage system;

wherein the commercial information exchange system is configured to receive an exchange subrecord from a user via the network, updates the exchange data set using the exchange subrecord, and generates a commercial statistical data set, wherein the commercial

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statistical data set is accessible by one or more users who provide exchange subrecords to the commercial information exchange system, and further wherein the exchange subrecord includes commercial information relating to a private company in an industry, and the statistical data set includes statistical averages for the industry.

45. (Original) The system of claim 44, wherein the user is a qualified user, and the commercial statistical data set is accessible only by one or more qualified users.

46. (Original) The system of claim 44, further comprising:
a first user interface located at the user;
wherein the first user interface is in communication with the commercial information exchange system via the network, for transmitting the exchange record from the first user interface to the commercial information exchange system.

47. (Original) The system of claim 44, wherein the network includes the Internet.

48. (Original) The system of claim 44, wherein the exchange system controller further includes a web server.

49. (Original) The system of claim 44, wherein the exchange system controller further includes a query manager for managing queries between the user and the commercial information exchange system.

50. (Original) The system of claim 44, wherein the exchange system controller includes a statistical model, wherein the commercial statistical data set is generated using the exchange data set and the statistical model.

51. (Currently Amended) A computer-readable medium having computer-executable instructions for performing a method for providing commercial statistical data via a network comprising:

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collecting commercial data information via a webpage from a user, the commercial data information being associated with a private company in an industry;

generating a commercial data record from the commercial data information, including a confidential subrecord and an exchange subrecord;

defining a commercial statistical analysis system having an exchange data set;

transmitting only the exchange subrecord via a network communication link to the commercial statistical analysis system;

updating the exchange data set using the exchange subrecord; and

generating a commercial statistical data set using the commercial statistical analysis system, wherein the commercial statistical data set is accessible by the user and includes statistical averages for the industry.

52. (Original) The method of claim 51, further comprising transmitting the commercial statistical data set from the commercial statistical analysis system to the user via the network communication link.

53. (Original) The method of claim 51, wherein the step of generating the commercial statistical data set further comprises:

defining a statistical model; and

generating a commercial statistical data set using the exchange data set and a statistical model.

54. (Original) The method of claim 51, further comprising the step of receiving a second exchange subrecord from a second user; and updating the exchange data set using the second exchange subrecord.

55. (Original) The method of claim 51, further comprising the step of:

accessing the commercial statistical analysis system via the webpage using a login routine, wherein the login routine determines whether a user is allowed to access the commercial statistical analysis system.

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56. (Original) The method of claim 55, further comprising the step of transmitting the commercial statistical data set to the user at the completion of the login routine.

57. (Original) The method of claim 51, wherein the step of collecting information from a user includes the step of collecting private business valuation information.

58. (Currently Amended) A method for trading private company statistical data comprising:
collecting private company data information including business valuation and other statistical data via a webpage from a user associated with the private company, wherein the private company is part of a commercial industry;
generating a private company data record from the private company data information, including a confidential subrecord and an exchange subrecord;
storing the confidential subrecord at the location of the user;
defining a statistical analysis system having an exchange data set and at least one statistical model;
transmitting only the exchange subrecord to the statistical analysis system;
updating the exchange data set using the exchange subrecord;
storing the updated exchange data set to the statistical analysis system; and
generating a private company statistical data set using the statistical analysis system based upon the updated exchange data set and the at least one statistical model,
wherein the private company statistical data set is accessible by one or more qualified users and includes statistical averages for the commercial industry, the statistical averages including at least one of average deal statistics, average valuation statistics, average collateral statistics, average operating performance statistics, and average operating performance data.
wherein a qualified user is defined as a user who provides exchange subrecords to the statistical analysis system.

59. (New) The method of claim 1, further comprising the step of collecting public company commercial information from a published public exchange market.

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60. (New) The method of claim 1, wherein the statistical averages include at least one of average deal statistics, average valuation statistics, average collateral statistics, average operating performance statistics, and average operating performance data.